

1. (a) The value of  $k_F$  for the "boundary sphere" is  $1/2$  the shortest reciprocal lattice vector:

$$\frac{2\pi}{a} (1,1,1) = \vec{G}, \quad \frac{1}{2} |\vec{G}| = \frac{2\pi}{a} \frac{1}{2} \sqrt{3} = \sqrt{3} \frac{\pi}{a}$$

$$n = \frac{k_F^3}{3\pi^2} \text{ in 3D} ; \quad n = \frac{1}{3\pi^2} \cdot \frac{\pi^3}{a^3} \cdot 3\sqrt{3} = \frac{\sqrt{3}\pi}{a^3}$$

$$= \frac{\sqrt{3}\pi}{4} \cdot \frac{1}{(a^3/4)} \leftarrow \begin{array}{l} \text{primitive} \\ \text{cell} \\ \text{volume} \end{array}$$

$$= 1.36 \text{ } e^- / \text{primitive cell}$$

Make sense; the full BZ holds  $2 e^- / \text{primitive cell}$

- (b) Square lattice, solution by observation

$$\text{Area of BZ} = \left(\frac{2\pi}{a}\right)^2 \longleftrightarrow 2 \text{ } e^- / \text{cell}$$

$\downarrow$   
spin

$$\text{Area of Fermi circle} = \pi \left(\frac{\pi}{a}\right)^2 = \frac{\pi^3}{a^2}$$

Density of states in  $k$ -space  $\Rightarrow$

$$n = \# \text{ electrons / cell} = 2 \text{ in BZ} \times \frac{(\text{circle area}) \frac{\pi^3/a^2}{(BZ \text{ area}) 4\pi^2/a^2}}$$

$$n = 2 \times \frac{\pi}{4} = \frac{\pi}{2} = 1.57 \text{ } e^- / \text{cell}$$

$$= 1.57 / a^2 \text{ } e^- / \text{unit volume}$$

2.  $V(r) = -4V_0 \cos\left(\frac{2\pi x}{a}\right) \cos\left(\frac{2\pi y}{a}\right)$ ,  $\vec{q} = l \frac{2\pi}{a} \hat{x} + k \frac{2\pi}{a} \hat{y}$

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$$V_G = \frac{1}{a^2} \int_0^a dx \int_0^a dy (-4V_0) \cos\left(\frac{2\pi x}{a}\right) \cos\left(\frac{2\pi y}{a}\right) e^{-i[l \frac{2\pi}{a} x]} e^{-i[k \frac{2\pi}{a} y]}$$

$$= \frac{-4V_0}{a^2} \left( \int_0^a \cos\left(\frac{2\pi x}{a}\right) e^{-i l \frac{2\pi}{a} x} dx \right) \left( \int_0^a \cos\left(\frac{2\pi y}{a}\right) e^{-i k \frac{2\pi}{a} y} dy \right)$$

$$\cos\left(\frac{2\pi x}{a}\right) = \frac{1}{2} \left( e^{i \frac{2\pi x}{a}} + e^{-i \frac{2\pi x}{a}} \right)$$

$$\cos\left(\frac{2\pi y}{a}\right) = \frac{1}{2} \left( e^{i \frac{2\pi y}{a}} + e^{-i \frac{2\pi y}{a}} \right)$$

$$\Rightarrow V_G = -\frac{4V_0}{a^2} \left( \int_0^a \frac{1}{2} \left( e^{i \frac{2\pi x}{a} (1-l)} + e^{i \frac{2\pi x}{a} (-1-l)} \right) dx \right) \left( \int_0^a \frac{1}{2} \left( e^{i \frac{2\pi y}{a} (1-k)} + e^{i \frac{2\pi y}{a} (-1-k)} \right) dy \right)$$

$$= -\frac{V_0}{a^2} (a \delta_{l,1} + a \delta_{l,-1}) (a \delta_{k,1} + a \delta_{k,-1})$$

$$= -V_0 (\delta_{l,1} + \delta_{l,-1}) (\delta_{k,1} + \delta_{k,-1})$$

$$\Rightarrow V_G = -V_0 \text{ for } \vec{q} = \frac{2\pi}{a} \hat{x} + \frac{2\pi}{a} \hat{y}$$

$$\text{or } \frac{2\pi}{a} \hat{x} - \frac{2\pi}{a} \hat{y}$$

$$\text{or } -\frac{2\pi}{a} \hat{x} + \frac{2\pi}{a} \hat{y}$$

$$\text{or } -\frac{2\pi}{a} \hat{x} - \frac{2\pi}{a} \hat{y}$$

$$V_G = 0 \text{ otherwise}$$

3. (a)  $\psi(x) = C(k) e^{ikx} + C(k-G) e^{i(k-G)x}$

$\Rightarrow \begin{cases} (\epsilon_k - \epsilon) C(k) + V_G C(k-G) = 0 \\ V_G C(k) + (\epsilon_{k-G} - \epsilon) C(k-G) = 0 \end{cases}$

Solve  $\begin{vmatrix} \epsilon_k - \epsilon & V_G \\ V_G & \epsilon_{k-G} - \epsilon \end{vmatrix} = 0$

$$\begin{aligned} \epsilon_k &= \frac{\hbar^2 k^2}{2m} \\ \epsilon_{k-G} &= \frac{\hbar^2}{2m} (k-G)^2 \end{aligned}$$

$\Rightarrow (\epsilon - \epsilon_k)(\epsilon - \epsilon_{k-G}) - V_G^2 = 0$

$\epsilon^2 - (\epsilon_k + \epsilon_{k-G})\epsilon + \epsilon_k \epsilon_{k-G} - V_G^2 = 0$

$\therefore \epsilon = \frac{1}{2} \left[ (\epsilon_k + \epsilon_{k-G}) \pm \sqrt{(\epsilon_k + \epsilon_{k-G})^2 - 4(\epsilon_k \epsilon_{k-G} - V_G^2)} \right]$

$= \frac{1}{2} (\epsilon_k + \epsilon_{k-G}) \pm \sqrt{\frac{1}{4} (\epsilon_k - \epsilon_{k-G})^2 + V_G^2}$

Let  $\delta \equiv \frac{1}{2}G - k$ ,  $k = \frac{1}{2}G - \delta$ ,  $\lambda_1 = \frac{\hbar^2 (\frac{1}{2}G)^2}{2m}$

$\Rightarrow \epsilon = \left( \frac{\hbar^2}{2m} \right) \left( \frac{1}{4}G^2 + \delta^2 \right) \pm \left[ 4\lambda_1 \left( \frac{\hbar^2 \delta^2}{2m} \right) + V_G \right]^{1/2}$

$\approx \left( \frac{\hbar^2}{2m} \right) \left( \frac{1}{4}G^2 + \delta^2 \right) \pm V_G \left[ 1 \pm 2 \left( \frac{\lambda_1}{V_G^2} \right) \left( \frac{\hbar^2 \delta^2}{2m} \right) \right]$

$\Rightarrow \begin{cases} \epsilon_k(+)= \epsilon_1(+)+ \frac{\hbar^2 \delta^2}{2m} \left( 1 + \frac{2\lambda_1}{V_G} \right) \\ \epsilon_k(-)= \epsilon_1(-)+ \frac{\hbar^2 \delta^2}{2m} \left( 1 - \frac{2\lambda_1}{V_G} \right) \end{cases}$

where  $\epsilon_1(\pm) = \frac{\hbar^2}{2m} \left( \frac{1}{2}G \right)^2 \pm V_G$

(b) At  $k = \frac{\pi}{a}$  ( $\epsilon_k \equiv \epsilon_{k-a}$ )

$$U_0(k) = \frac{1}{V_G} \left[ \pm \sqrt{0 + V_G^2} \right] = \pm 1$$

So normalized eigenvectors are  $\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ ,  $\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix} = \begin{bmatrix} U_0 \\ U_G \end{bmatrix}$

Now, write these for  $k - \frac{\pi}{a} = -\delta$  in simpler form,

to lowest order ( $\delta^1$ ):

$$\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \rightarrow \frac{1}{\sqrt{2}} \begin{bmatrix} 1 + \frac{\hbar^2 G \delta}{2mV_G} \\ 1 + \frac{\hbar^2 G \delta}{2mV_G} \end{bmatrix}$$

$$\frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -1 \end{bmatrix} \rightarrow \frac{1}{\sqrt{2}} \begin{bmatrix} 1 + \frac{\hbar^2 G \delta}{2mV_G} \\ -1 + \frac{\hbar^2 G \delta}{2mV_G} \end{bmatrix}$$

Higher order correction ( $\delta^2$ ):  $2 \left( \frac{\lambda_1}{V_G^2} \right) \left( \frac{\hbar^2 \delta^2}{2m} \right)$

(c) To lowest order,  $\Delta E = \epsilon_{k(+)} - \epsilon_{k(-)} \sim 2V_G$

$$\Delta E = 1\text{eV} \rightarrow V_G = \frac{1}{2} \text{eV}$$