

# Local nematic susceptibility in stressed $\text{BaFe}_2\text{As}_2$ from NMR electric field gradient measurements

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The electric field gradient (EFG) tensor at the  $^{75}\text{As}$  site couples to the orbital occupations of the As p-orbitals and is a sensitive probe of local nematicity in  $\text{BaFe}_2\text{As}_2$ . We use nuclear magnetic resonance to measure the nuclear quadrupolar splittings and find that the EFG asymmetry responds linearly to the presence of a strain field in the paramagnetic phase. We extract the nematic susceptibility as a function of temperature and find that it diverges near the structural transition in agreement with other measures of the global susceptibility.

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The iron-based superconductors exhibit a complex interplay between orbital, electronic and lattice degrees of freedom. In  $\text{BaFe}_2\text{As}_2$  a ferro-orbital instability is accompanied by an orthorhombic distortion and long-range antiferromagnetic order [1, 2]. This nematic phase breaks the  $C_4$  tetragonal symmetry of the lattice, and is preceded by critical nematic fluctuations and divergent nematic susceptibility in the disordered phase [3, 4]. In the nematic phase, the Fe  $d_{xz}$  and  $d_{yz}$  orbitals become non-degenerate, with an energy splitting on the order of 40 meV, and different occupation levels [5]. This phase also stabilizes antiferromagnetic ordering of the Fe spins, which order either concomitantly with the nematic phase transition, or at a temperature  $T_N$  only a few Kelvins below. As a result, many low energy experimental probes actually sense a complex interplay of the orbital, lattice, and magnetic degrees of freedom simultaneously, precluding quantitative analyses.

Several techniques have been developed to probe the nematic degrees of freedom. Anisotropic resistivity [6, 7], elastoresistance [3], electronic Raman scattering [8], elastic constants [9–11], thermopower, polarized light image color analysis [12, 13] and optical conductivity [14] probe global, macroscopic anisotropies. NMR and neutron scattering, on the other hand, are microscopic probes, and have been used to investigate the effect of nematicity on the spin fluctuations [15–19]. The nuclear quadrupolar interaction, however, can probe the microscopic orbital occupations directly [20]. The  $^{75}\text{As}$  ( $I = 3/2$ ) quadrupolar moment couples to the local electric field gradient (EFG), which is dominated by the on-site occupations of the As 4p electrons. These orbitals are hybridized with the Fe 3d orbitals, and thus the EFG is a sensitive probe of the d-orbital occupations. Indeed, the EFG tensor exhibits a dramatic lowering from axial symmetry at the nematic phase transition in the absence of applied strain [21]. In this Rapid Communication we

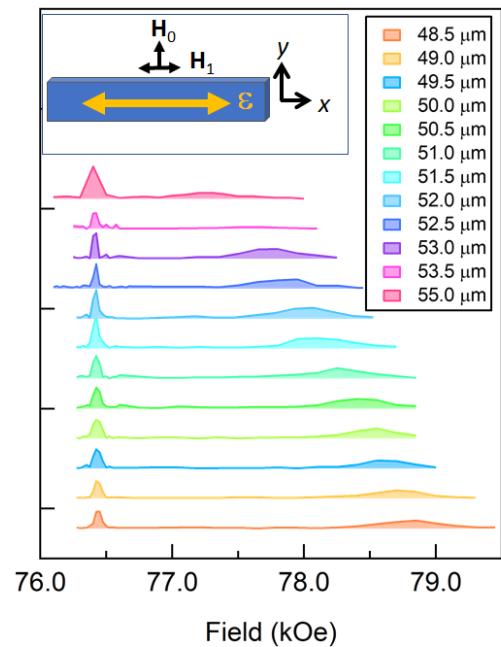


FIG. 1. (color online) Field-swept spectra of  $\text{BaFe}_2\text{As}_2$  at constant frequency  $f = 55.924$  MHz at 138 K for several different displacements of the piezoelectric device, showing the central and upper satellite transitions. INSET: Orientation of the crystal with respect to the external field,  $\mathbf{H}_0$ , the strain axis, and the rf field  $\mathbf{H}_1$ .

present new data on the EFG under uniaxial strain. We find that the EFG asymmetry parameter is linearly proportional to the in-plane strain applied to the crystal, and is a direct measure of the nematic susceptibility. This approach enables one to probe the *local*, rather than global, nematic susceptibility.

A single crystal of  $\text{BaFe}_2\text{As}_2$  was synthesized via a self-flux method and cut to dimensions of approximately 1.5

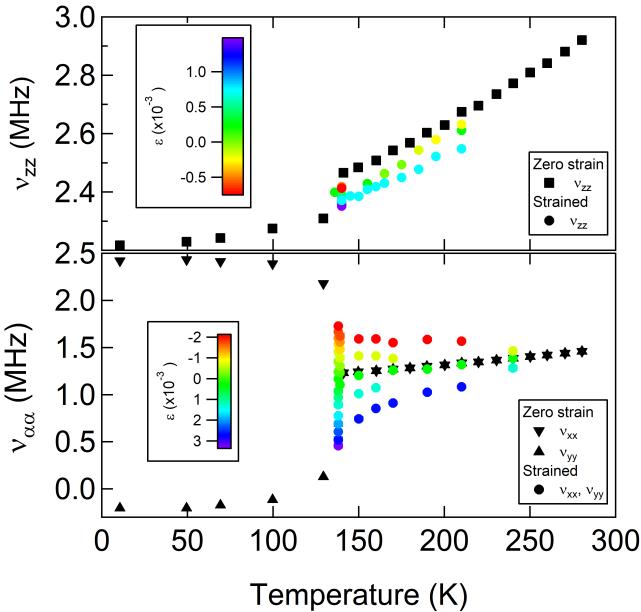


FIG. 2. (color online) The electric field gradient components ( $\nu_{xx}$ ,  $\nu_{yy}$ ,  $\nu_{zz}$ ) for the As versus temperature for  $\text{BaFe}_2\text{As}_2$  both in zero strain (reproduced from [21]) and under uniaxial strain.

mm  $\times$  0.5 mm with the long axis parallel to the (110)<sub>T</sub> direction in the tetragonal basis along the Fe-Fe bond direction. The sample was mounted in a custom-built NMR probe incorporating a Razorbill cryogenic strain apparatus [22]. Uniaxial stress was applied to the crystal as described in [16] by piezoelectric stacks as illustrated in the inset of Fig. 1, and strain was measured by a capacitive dilatometer. A free-standing NMR coil was placed around the crystal, and spectra were measured by acquiring echoes while sweeping the magnetic field  $H_0$  at fixed frequency, as shown in Fig. 1.  $^{75}\text{As}$  has spin  $I = 3/2$ , with three separate resonances separated by the quadrupolar interaction. The higher quadrupolar satellite resonance occurs at field  $H_{sat} = (f_0 + \nu_{\alpha\alpha})/\gamma(1 + K_{\alpha\alpha})$ , where  $f_0 = 55.924$  MHz is the rf frequency,  $\gamma = 7.29019$  MHz/T is the gyromagnetic ratio, and  $K_{\alpha\alpha}$  and  $\nu_{\alpha\alpha}$  are the Knight shift and EFG tensor components in the  $\alpha = (x, y, z)$  direction. The central transition field is given by:  $H_{cen} = \frac{f_0}{\gamma(1 + K_{\alpha\alpha})} \left( \frac{1}{2} + \sqrt{\frac{3f_0^2 - 2(\nu_{\beta\beta} + \nu_{\alpha\alpha})^2}{12}} \right)$ , where  $\beta = (y, x, z)$  for  $\alpha = x, y, z$ . The center of gravity of each peak was used to determine the resonance field, and hence  $K_{\alpha\alpha}$  and  $\nu_{\alpha\alpha}$  as a function of strain. The Knight shift shows essentially no change with strain [16], however, all components of the EFG tensor show strong variations, as shown in Fig. 2 and Fig. 3.

The EFG tensor is given by  $\nu_{\alpha\beta} = (eQ/12h)\partial^2V/\partial x_\alpha\partial x_\beta$ , where  $Q = 3.14 \times 10^{-29}\text{m}^2$  is the quadrupolar moment of the  $^{75}\text{As}$  and  $V$  is the electrostatic potential at the As site. This quantity is

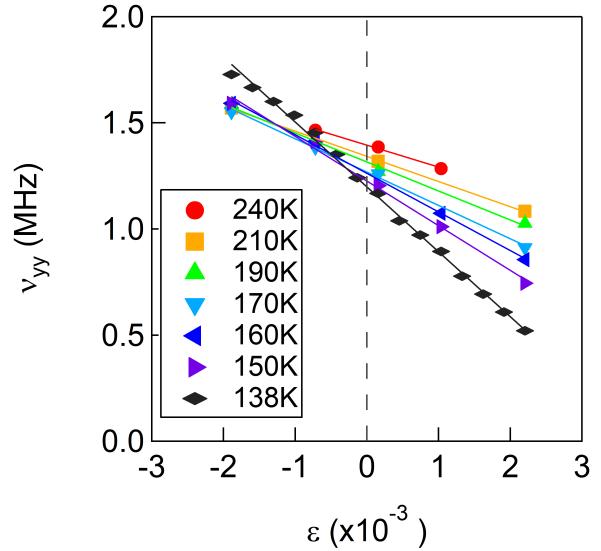


FIG. 3. (color online) The quadrupolar splitting  $\nu_{yy}$  as a function of strain at several fixed temperatures. The solid lines are linear fits to the data.

dominated by the occupation of the As 4p orbitals, which in turn are hybridized with the  $d_{xz,yz}$ -orbitals of the neighboring Fe atoms [20]. In the tetragonal phase the EFG asymmetry parameter  $\eta = (\nu_{yy} - \nu_{xx})/(\nu_{xx} + \nu_{yy})$  vanishes, as seen in Fig. 2. In the presence of finite nematicity, the  $C_4$  symmetry of the EFG tensor is broken and  $\nu_{xx} \neq \nu_{yy}$  [23]. In-plane anisotropic strain fields,  $\varepsilon_{ani} = \frac{1}{2}(\varepsilon_{xx} - \varepsilon_{yy})$ , with  $B_{2g}$  symmetry (in the coordinate system of the tetragonal unit cell) couple bilinearly to nematicity, therefore  $\eta$  responds to strain in the same manner that the magnetization of a ferromagnet responds to a uniform magnetic field [3, 12, 24]. Due to a finite Poisson ratio, uniaxial stress induces strains  $\varepsilon_{\alpha\alpha}$  ( $\alpha = x, y, z$ ) along three different directions, but the dominant contribution is  $\varepsilon_{ani}$  that couples to  $\eta$ . In our configuration we can only apply  $\mathbf{H}_0$  perpendicular to the stress axis. We measure both  $\nu_{zz} = \nu_{cc}$  along the  $\hat{c}$  axis of the crystal, and  $\nu_{yy}$  for  $\mathbf{H}_0$  in the basal plane. For the latter case,  $\nu_{yy} = \nu_{aa}$  for compressive strain ( $\varepsilon_{ani} < 0$ ) and  $\nu_{yy} = \nu_{bb}$  for tensile strain ( $\varepsilon_{ani} > 0$ ), and  $\nu_{xx}(\varepsilon_{ani}) = \nu_{yy}(-\varepsilon_{ani})$ . The EFG thus enables us to identify the zero-strain displacement,  $x_0$ , by the condition  $|\nu_{xx}| = |\nu_{yy}| = |\nu_{zz}|/2$ . Note that  $\eta$  can exceed unity, since  $\nu_{xx} + \nu_{yy} + \nu_{zz} = 0$ . Furthermore, in the absence of strain a global order parameter in a twinned sample would average to zero, whereas the local order measured by NMR reveals all domains simultaneously [21].

As seen in Fig. 2, the applied strain significantly alters the local EFG. Just above the structural transition  $T_s = 135$  K, the strained EFG values approach those in the spontaneously ordered phase in the absence of strain. Furthermore, the maximum strain levels as measured by

the dilatometer reach approximately 60% of the spontaneous values of the orthorhombicity in the ordered phase [25]. Nevertheless,  $\nu_{yy}$  remains linear over this range as shown in Fig. 3. The slope of this response is therefore a measure of the static nematic susceptibility,  $\chi_{\text{nem}}$ . Similar behavior was observed in elastoresistance [3], shear modulus [11], and electronic Raman scattering [26]. However, the NMR probes the local nematicity in terms of the different orbital occupations obtained from calculated EFGs, rather than the global response due to different nematic domains.

Figure 4 shows the temperature dependence of  $d\eta/d\varepsilon_{\text{ani}}$  and compares the response to elastoresistance measurements [3]. The NMR data exhibit a similar behavior with a divergence at  $T_s$ . We fit the EFG data to the sum of a Curie-Weiss term plus a background susceptibility:  $\chi_{\text{nem}} = C/(T-T_0) + \chi_0$ , and find  $C_0 = 4700 \pm 700$  K,  $T_0 = 116 \pm 3$  K, and  $\chi_0 = 54 \pm 8$ . The background term reflects the intrinsic response of the lattice, whereas the Curie-Weiss term represents the nematic instability. Our observed value of  $T_0$  is consistent with elastoresistance and shear modulus measurements, but differs from that observed by Raman scattering [11, 26, 27]. The difference between  $T_0$  and  $T_s$  arises due to the coupling between the electronic nematic and the lattice, so that the free energy instability occurs before the divergence [28].

In order to understand the relationship between the EFG asymmetry and the splitting between the Fe  $d_{xz}$  and  $d_{yz}$  orbitals, we have performed GGA-based DFT calculations [? ?] for the tetragonal structure at 300 K and 0.2 GPa [?] under anisotropic in-plane strain  $\varepsilon_{\text{ani}}$ . Our values of the EFG are consistent with previous calculations in the absence of strain, but underestimate the experimental values by approximately a factor of three [29, 30]. We confirm that the EFG is dominated by the occupation of the As  $p$  orbitals [20], which are hybridized with the neighboring  $d_{xz}$  and  $d_{yz}$  orbitals. We calculate that  $d\eta/d\varepsilon_{\text{ani}} = 33$ , which is close to the experimental value of the background susceptibility,  $\chi_0$ . The strong temperature-dependent divergence at  $T_s$  is a collective phenomenon driven by the electronic system and cannot be captured by the DFT calculations which are valid only at  $T = 0$ . Under strain, the two bands with dominant  $d_{yz}$  and  $d_{xz}$  character become non-degenerate, and develop a finite splitting,  $\Delta_{xz-yz}$ , at the  $X$  point in  $\mathbf{k}$ -space. We find that  $\eta = A\Delta_{xz-yz}$ , where  $A = 5.7/\text{eV}$ . These values are consistent with angle-resolved photoemission experiments that indicate a splitting  $\Delta_{xz-yz} \sim 40$  meV in the nematic phase [5], whereas NMR studies reveal a value of  $\eta \sim 1.2$  [21].

Fig. 2 also shows the quadrupolar splitting  $\nu_{zz}$  along the c-axis to in-plane strain. This independent component of the EFG tensor does not couple to the nematic order, but nevertheless it is suppressed by the lattice distortion. We find that  $|\nu_{zz}(\varepsilon_{\text{ani}})/\nu_{zz}(0)| = 1 - \beta\varepsilon_{\text{ani}}^2$ , where  $\beta \approx 9000$  is approximately temperature indepen-

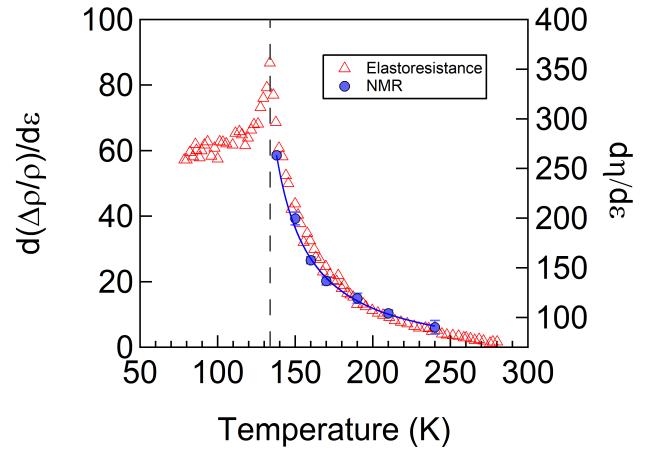


FIG. 4. (color online) The nematic susceptibility measured by the EFG asymmetry ( $\bullet$ ) and that measured by elastoresistance ( $\Delta$ , reproduced from [3]). The solid line is a fit to the NMR data, as described in the text. The vertical dashed line indicates  $T_N$ .

dent. Our DFT calculations reveal a small quadratic suppression with  $\beta = 30$ , due to changes in the relative occupations of the As  $p_z$  and  $p_{x,y}$  orbitals. The difference between the experimental and theoretical values may reflect changes to the c-axis lattice parameters due to a finite Poisson ratio.

Our measurements offer insight into the behavior of the EFG in electron-doped pnictides. In doped  $\text{Ba}(\text{Fe},\text{M})_2\text{As}_2$  ( $\text{M} = \text{Co, Ni}$ ), the quadrupolar satellite resonances are inhomogeneously broadened ( $\sim 1.0 - 1.5$  MHz) relative to those in the parent compound (0.13 MHz) [31–33]. A large source of this broadening may arise from local strain distributions. Local strains at dopant atoms can reach up to 3% [34], which would correspond to a shift in the As EFG parameters of  $\delta\eta \sim 10$  and  $\delta\nu_{zz} \sim 2.9$  MHz at 140 K. The strain field relaxes with distance from the dopant giving rise to a distribution of local EFGs. Recently a finite EFG asymmetry  $\eta \sim 0.1$  was reported in  $\text{BaFe}_2(\text{As}_{1-x}\text{P}_x)_2$  in the tetragonal phase [20]. This value would be consistent with an average strain field on the order of 0.05%. We postulate, therefore, that the origin of the finite nematicity observed in this compound reflects inhomogeneous strain fields from the dopant atoms, rather than intrinsic nematicity above the structural transition [35]. Complex EFG distributions have also been reported in  $\text{RFeAsO}_{1-x}\text{F}_x$  ( $\text{R} = \text{La, Sm}$ ) that have been interpreted as nanoscale electronic order [36]. It is unclear whether these spatial variations arise due to  $\nu_{zz}$  or  $\eta$ , although they may reflect a combination of both strain and/or orbital occupations.

In conclusion, we have conducted detailed measurements of the EFG under a uniform uniaxial stress, and observed a linear response that is strongly temperature dependent. The slope agrees well with other measure-

ments of the nematic susceptibility, and demonstrates that  $C_4$  symmetry is broken not only in the different Fe 3d orbital occupations, but also in the As 4p orbitals. Our results further demonstrate that  $^{75}\text{As}$  NMR is sensitive to the charge degrees of freedom, and enable a quantitative measure of the local orbital occupations of the Fe d-orbitals. Measurements of the local nematicity by NMR provide an important microscopic complement to other techniques, and offer a unique opportunity to measure the response in the superconducting state. For example, in contrast to elasto-resistance and Raman scattering, NMR under strain can probe the nematic susceptibility below  $T_c$ . Such measurements may provide insight into the role of nematic degrees of freedom in the superconducting mechanism [37].

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